# WINDSTREAM COMMUNICATIONS ANNUAL REPORT

FOR THE STATE OF

# **New Mexico**

State of Respondent 6/26/2012

### **ETC's Included In This Report**

**LEGAL ENTITY NAME** 

SAC

ETC#1: ETC#2:

Valor Telecommunications of Texas, LLC

491164

Valor Telecommunications of Texas, LLC

491193

ETC#3:

ETC#4:

ETC#5:

ETC#6:

Person to contact for questions:

Name:

Jeff Heacox

Phone Number:

501-748-5390

E-mail Address:

jeff.l.heacox@windstream.com



For The Year Ended December 31, 2011

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### **GENERAL INFORMATION**

- The enclosed reports are being filed with the Office of the Secretary of the Commission, with the Administrator (USAC), the relevant state commissions, and relevant authority in a U.S. Territory, or Tribal governments, as appropriate pursuant to WC Docket No. 10-90.
- 2. The enclosed information satisfies the requirements included in 47CFR 54.314 and is being provided to:

Marlene H. Dortch, Secretary Federal Communications Commission Office of The FCC Secretary 445 12th Street, SW Washington, D.C. 20554

Universal Service Administrative Company 2000 L Street N.W. Suite 200 Washington, DC 20036 hcfilings@usac.org

Tribal Government as appropriate

3. The Windstream officer signing the certifications included in this report is:

Name: John Fletcher Title: General Counsel

### Report 1 - Five-year Progress Report

§ 54.313(a)(1) A progress report on its five-year service quality improvement plan pursuant to 54.202(a), including maps detailing its progress towards meeting its plan targets, an explanation of how much universal service support was received and how it was used to improve service quality, coverage, or capacity, and an explanation regarding any network improvement targets that have not been fulfilled in the prior calendar year. The information shall be submitted at the wire center level or census block as appropriate;

Response: This requirement is not effective until April 1, 2013 per FCC DA 12-147 II.A.7.

- (i) At least ten percent of the end users served in a designated service area; or
- (ii) A 911 special facility, as defined in 47 CFR 4.5(e).
- (iii) Specifically, the eligible telecommunications carrier's annual report must include information detailing:
  - (A) The date and time of onset of the outage;
  - (B) A brief description of the outage and its resolution;
  - (C) The particular services affected;
  - (D) The geographic areas affected by the outage;
  - (E) Steps taken to prevent a similar situation in the future; and
  - (F) The number of customers affected.

Service Area					Service			Customers
(SAC)	Onset Date	Onset Time	Report Description	Found Description	Affected	Outage Area	Prevention	Affected
491164	12-May-11	12:20 PM	NN_HBBS NM_HBBN SITE CURRENTLY HAS NO DIALTONE NM_CRLB - MMN:SYP99- DLU EXT DLU10 LN14	blown fuse replaced	Local	HBBSNMXB	Replaced faulty hardware	8,918
491164	09-Dec-11	12:24 PM	FOT3 CRLB C EXTERNAL ALARM DLU NM_ESPN_NMLYBK MMN:DLU60 SYSTEM	Local tech Randy reseated primary span card.	Special	CRLBNMXA	Replaced faulty hardware	672
491193	01-Jan-11	8:21 PM	OPERATOR (RLS 373 is down) NM_ESPN_NMTRCH MMN:LG160-1600 IDT	Win Tech SD fixed the cable problem. Verified Dial Tone. contractor and co tech	Local	LYBKNMXC	Replaced faulty hardware	85
491193	10-Jan-11	5:10 PM	COMMUNICATIONS CHANNEL FAILURE (RLS 362)	repaired op facilities - alarm condtiion cleared and service restored to remote.	Local	TRCHNMXC	Repaired or replaced cut cable	499
491193	13-Jan-11	12:44 AM	NM_ESPN LGC.0015:LGC=335-00 IS OUT OF SERVICE NM_ESPN:PWR.0060:RGF =374-09 POWER FAILURE	RESTORED TO SERVICE 02:45 EDT CASUED BY LOSS OF POWER RELOADED LGC 335 COMMERCIAL AC RESTORED	Local	ELRTNMXC	Replaced faulty hardware	458
491193	11-Mar-11	3:43 AM	DETECTED CORE_ENV/MMN:SYP99- EX EXT EXCHLN19 SSMP	IN THE AREA;ALSO DEFECTIVE POWER SUPPLY CHANGED OUT	Local	CUBANMXC	Replaced faulty hardware	180

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Service Area			· · · · · · · · · · · · · · · · · · ·		Service			Customers
(SAC)	Onset Date	<b>Onset Time</b>	Report Description	Found Description	Affected	<b>Outage Area</b>	Prevention	Affected
			NN_HBBS NM_HBBN SITE				*	
			<b>CURRENTLY HAS NO</b>				Replaced faulty	
491164	12-May-11	12:20 PM	DIALTONE	blown fuse replaced	Local	HBBSNMXB	hardware	8,918
				per Tomashad no pwr on				
				side y of RLS 359when the				
				GENR started up				
			NM_RUDS_NMRUDD	the online LSCs loaded and in				
			DS1.0001:LSC=360 BOTH	frame 359 having no power				
			MESSAGE SPANS ARE	to the y side the mdc			Replaced faulty	
491193	28-Mar-11	10:09 AM	OUT OF SERVICE	would not restore.	Local	RDDWNMXC	hardware	1,631
				Angelicka/ECSC called SSTC				
			NM_ESPN: E911 OUTAGE	to say outage caused by				
491193	01-Apr-11	3:50 AM	/ Rio Arriba Co	MOP 033011141518.	E911	ESPNNMXC	Scheduled Outage	32,012

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(SAC)	Onset Date	<b>Onset Time</b>	Report Description	Found Description	Affected	Outage Area	Prevention	Affected
			NN_HBBS NM_HBBN SITE					
			CURRENTLY HAS NO				Replaced faulty	
491164	12-May-11	12:20 PM	DIALTONE	blown fuse replaced NM_RUDS NM_RUDD RSU	Local	HBBSNMXB	hardware	8,918
				34 SITE IS TOLL				
				ISOLATED/WORKED WITH				
				THOMAS ON THE				
				SITUATION; DETERMINED				
				THAT THE SITE DID NOT				
				HAVE				
				DIALTONE; GENERATOR IS				
				SCHEDULED TO RUN ON				
				MONDAYS;GENERATOR				
				TRANSFER SWITCH ROUTINE,				
			NM_RUDS NM_RUDD	DID NOT WORK			Design of Contract	
			RSU 34 SITE IS TOLL	CORRECTLY;ALSO THE		DDDIVAL AVC	Replaced faulty	
491193	18-Apr-11	10:11 AM	ISOLATED	BATTERIES DID NOT H	Local	RDDWNMXC	hardware	1,631
				Per Sonny, (Windstream				
				Outside Plant Eng.) a				
				contracting company was				
				performing road after they				
				performed there own locate.				
				The company cut				
			NM_ESPN NM_DULC SITE	Windstream Fiber and				
			<b>CURRENTLY WITHOUT</b>	Winstream splicers worked			Repaired or replaced cut	
491193	18-Apr-11	12:11 PM	DIALTONE	to repair the cable.	Local	DULCNMXC	cable	1,969

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Service Area	·	_			Service	·		Customers
(SAC)	Onset Date	Onset Time	Report Description	Found Description	Affected	Outage Area	Prevention	Affected
491164	12-May-11	12:20 PM	NN_HBBS NM_HBBN SITE CURRENTLY HAS NO DIALTONE	blown fuse replaced	Local	HBBSNMXB	Replaced faulty hardware	8,918
491193	15-May-11	9:14 PM	NM_ESPN_NMSNYS MMN:LG160-1600 IDT COMMUNICATIONS CHANNEL FAILURE	Win Tech SD, reseated Power supply cards for SNYS microwave. Spans have stayed in service since.  waiting on Tech for reason- never hear from Elmer-wfm closed with no comment	Local	SNYSNMXC	Replaced faulty hardware	2,171
				HOST_NMESPN 11-06-01 11:44:37 WEDNESDAY RLS STATUS REPORT:				
491193	01-Jun-11	11:33 AM	NM_ESPN_NMTRAM PWR.0039:LGC=354- 08,09,10,11 POWER ALARM CORE_ENV NM_ESPN:	ONLINE MISC CLK RNG SPN CFC-SPAN ESPF	Local	TRAMNMXC	Replaced faulty hardware	285
491193	11-Jun-11	6:22 PM	DS1.0001:LSC=374 BOTH MESSAGE SPANS ARE OUT OF SERVICE	Generator installed, site lost power	Local	SNYSNMXC	Reviewed companies emergency power policy	2,238

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Service Area					Service			Customers
(SAC)	<b>Onset Date</b>	Onset Time	Report Description	Found Description	Affected	Outage Area	Prevention	Affected
491164	12-May-11	12:20 PM	NN_HBBS NM_HBBN SITE CURRENTLY HAS NO DIALTONE NM_ESPNDCO:	blown fuse replaced S.D REPLACED DEFECTIIVE	Local	HBBSNMXB	Replaced faulty hardware	8,918
491193	20-Jun-11	8:00 AM	DS1.0001:LSC=377 BOTH MESSAGE SPANS ARE OUT OF SERVICE	LGHS CARDS ON THE C SIDE;RESTORD THE DEVICE;CONFIRMED DT	Locai	SNYSNMXC	Replaced faulty hardware	356
491193	21-Jun-11	1:35 PM	NM_ESPN_NMSNYS DS1.0001:LSC=375,376,37 7 BOTH MESSAGE SPANS ARE OUT OF SERVICE  NM_ESPN_NMSNYS San Ysidro Microwave tower is taking errors affecting	Microwave issues fixed. Per the local manager thru the WFM, the wind was	Local	SNYSNMXC	Replaced faulty hardware	1,113
491193	22-Jun-11	5:21 PM	SNYS & JMSP wireline centers NM ESPN NMVLCT	stopped enough to fix the issue.	Local	SNYSNMXC	Scheduled additional testing	2,171
491193	11-Jul-11	12:29 PM	RLG.0009:RGR=334-04 IS OUT OF SERVICE NM_ESPN: DS1.0001:LSC=375,374,37 6,377 BOTH MESSAGE	power restored  7 Techs repaired microwave problems then I restored the	Local	VLCTNMXC	Reviewed companies emergency power policy	86
491193	12-Jul-11	8:20 AM	SPANS ARE OUT OF SERVICE	BXC to get associated frames to load.	Local	SNYSNMXC	Replaced faulty hardware	2,700

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  - (A) The date and time of onset of the outage;
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Service Area					Service			Customers
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			NN_HBBS NM_HBBN SITI	<b>E</b>				
			CURRENTLY HAS NO				Replaced faulty	
491164	12-May-11	12:20 PM	DIALTONE	blown fuse replaced	Local	HBBSNMXB	hardware	8,918
				NM_ESPN: NM_SNYS RLS'S				
				375 THRU 377 ARE OUT OF				
				SERVICE/LOCAL PERSONNEL				
				ADJUSTED THE MICROWARE				
				UNIT; LOADED THE DEVICES				
				(LSC'S AND LGC'S) FOR THE				
				RLS'S;DIALTONE				
			NM_ESPN: NM_SNYS	RESTORED; SENDING OUT				
			RLS'S 375 THRU 377 ARE	CLOSING OUTAGE				
491193	14-Jul-11	6:30 AM	OUT OF SERVICE	NOTIFICATION;	Local	SNYSNMXC	Scheduled Outage	2,700
			NM_ESPN_NMSNYS					
			MMN:LG160-1600 IDT					
			COMMUNICATIONS	micro wave restored outage			Replaced faulty	
491193	18-Jul-11	9:34 AM	CHANNEL FAILURE	cleared close ticket	Local	SNYSNMXC	hardware	2,932
			NIA ECONOCO	SERVICE FOR THE THE SAN				
			NM_ESPNDCO: DS1.0001:LSC=377 BOTH	YSIDRO REMOTE SITE HAS				
			MESSAGE SPANS ARE	BEEN RESTORED; RADIO MICROWAVE HAS			Replaced faulty	
491193	19-Jul-11	6:00 AM	OUT OF SERVICE	STABELIZED;	Local	SNYSNMXC	hardware	2,700
431133	13-Jul-11	6:00 AIVI	NM_ESPN_NMSNYS	STABELIZED,	LUCAI	SINTSINIVIAC	Hardware	2,700
			MMN:LG160-1600 IDT	Worked w/ Lenard to reset				
			COMMUNICATIONS	several LGC PS's and NOC			Replaced faulty	
491193	15-Oct-11	11:15 PM	CHANNEL FAILURE	reloaded LGC's.	Local	SNYSNMXC	hardware	345
,51456								3.3

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  - (A) The date and time of onset of the outage;
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Area Prevention Affected
Allected
Replaced faulty
MXB hardware 8,918
Replaced faulty
MXC hardware 98
Repaired or replaced cut
MXC cable 98

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Service Area					Service			Customers
(SAC)	<b>Onset Date</b>	<b>Onset Time</b>	Report Description	Found Description	Affected	<b>Outage</b> Area	Prevention	Affected
			NN_HBBS NM_HBBN SITI			A		
			<b>CURRENTLY HAS NO</b>				Replaced faulty	
491164	12-May-11	12:20 PM	DIALTONE	blown fuse replaced	Local	HBBSNMXB	hardware	8,918
			NM_ESPN_NMLYBK NM					
			BOUNCING->34 in 60Min	- both message links are back				
			DS1.0001:LSC=373 BOTH	up;couldn't find any trbl that				
			MESSAGE SPANS ARE	caused them to go down per			Scheduled additional	
491193	17-Dec-11	6:15 AM	OUT	Elmer	Local	LYBKNMXC	testing	98
			NM_ESPN_NMLYBK					
			DS1.0001:LSC=373 BOTH					
			MESSAGE SPANS ARE					
			OUT OF SERVICE	373 RESTORED, LINES			Replaced faulty	
491193	23-Dec-11	6:07 AM	Unknown	RESTORED.	Local	LYBKNMXC	hardware	98
			NM_ESPN_NMCUBA_NM	Elmer called to close. Still				
			LYBK DS1.0001 LSC=373	one span out of service but				
			BOTH MESSAGE SPANS	RLS frame is back up.			Replaced faulty	
491193	24-Dec-11	4:30 AM	ARE OUT OF SERVICE	Verified in switch.	Local	LYBKNMXC	hardware	98

# Report 3 – Unfulfilled Request Report

§ 54.313(a)(3) The number of requests for service from potential customers within the recipient's service areas that were unfulfilled during the prior calendar year. The carrier shall also detail how it attempted to provide service to those potential customers;

Service Area (SAC)	Wire Center	Held Orders	Held Due To
491193	ESPNNMXC	1	Cable full, added new plant

# Report 4 - Number of Complaints Per 1,000 Report

§ 54.313(a)(4) The number of complaints per 1,000 connections (fixed or mobile) in the prior calendar year

SAC	Wire Center	Complaints/1K
491164	CBCVNMXA	3
491164	CRLBNMXA	1
491164	<b>EUNCNMXA</b>	2
491164	<b>HBBSNMXA</b>	1
491164	<b>HBBSNMXB</b>	1
491164	JAL NMXA	2
491164	LGTNNMXA	2
491164	LVNGNMXA	2
491193	<b>ABIQNMXC</b>	2
491193	<b>ALTONMXC</b>	2
491193	CAPTNMXC	2
491193	CHAMNMXC	1
491193	CHMYNMXC	3
491193	CNJLNMXC	2
491193	CUBANMXC	4
491193	DIXNNMXC	2
491193	DULCNMXC	1
491193	<b>ELRTNMXC</b>	2
491193	<b>ESPNNMXC</b>	2
491193	GLLNNMXC	3
491193	HLBONMXC	1
491193	JMSPNMXC	3
491193	LNDRNMXC	3
491193	LYBKNMXC	8
491193	OJCLNMXC	3
491193	RDDWNMXC	2
491193	RUDSNMXA	1
491193	RUDSNMXC	1
491193	SNYSNMXC	4
491193	TRAMNMXC	1
491193	TRCHNMXC	3
491193	TRCNNMXB	1
491193	TRCNNMXC	1
491193	VLCTNMXC	3
491193	VLRDNMXC	2

# **Report 5 - Service Quality and Emergency Certification**

§ 54.313(a)(5) Certification that it is complying with applicable service quality standards and consumer protection rules

§ 54.313(a)(6) Certification that the carrier is able to function in emergency situations as set forth in §54.202(a)(2)

### Response:

See report certification - Certifications include compliance with service quality standards, consumer protection, and the ability to function in emergency situations.

# **Report 6 - Company Price Offering Report**

§ 54.313(a)(7) The company's price offerings in a format as specified by the Wireline Competition Bureau

Response: The Wireline Competition Bureau has not specified the format this data is to be provided in nor has this been approved by the Office of Management and Budget (OMB). This data will be provided once the OMB acceptance has been published in the Federal Register and the WCB has specified the format per FCC 11-161 ¶54.313(a)(7).

### Report 7 - Holding and Operating Company Report

§ 54.313(a)(8) The recipient's holding company, operating companies, affiliates, and any branding (a "dba," or "doing-business-as company" or brand designation), as well as universal service identifiers for each such entity by Study Area Codes, as that term is used by the Administrator. For purposes of this paragraph, "affiliates" has the meaning set forth in section 3(2) of the Communications Act of 1934, as amended

Response: The Office of Management and Budget (OMB) has not approved this new requirement. This data will be provided once the OMB acceptance has been published in the Federal Register per FCC DA 12-147 II.A.12.

### **Report 8 - Tribal Land Information**

§ 54.313(a)(9) To the extent the recipient serves Tribal lands, documents or information demonstrating that the ETC had discussions with Tribal governments that, at a minimum, included:

- (i) A needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- (ii) Feasibility and sustainability planning;
- (iii) Marketing services in a culturally sensitive manner;
- (iv) Rights of way processes, land use permitting, facilities siting, environmental and cultural preservation review processes; and
- (v) Compliance with Tribal business and licensing requirements. Tribal business and licensing requirements include business practice licenses that Tribal and non-Tribal business entities, whether located on or off Tribal lands, must obtain upon application to the relevant Tribal government office or division to conduct any business or trade, or deliver any goods or services to the Tribes, Tribal members, or Tribal lands. These include certificates of public convenience and necessity, Tribal business licenses, master licenses, and other related forms of Tribal government licensure.

Response: This requirement is not effective until April 1, 2013 per FCC DA 12-147 II.A.11.

### Report 9 - Areas with no Terrestrial Backhaul Certification

§ 54.313(g) Areas with No Terrestrial Backhaul. Carriers without access to terrestrial backhaul that are compelled to rely exclusively on satellite backhaul in their study area must certify annually that no terrestrial backhaul options exist. Any such funding recipients must certify they offer broadband service at actual speeds of at least 1 Mbps downstream and 256 kbps upstream within the supported area served by Federal Communications Commission satellite middle-mile facilities. To the extent that new terrestrial backhaul facilities are constructed, or existing facilities improve sufficiently to meet the relevant speed, latency and capacity requirements then in effect for broadband service supported by the CAF, within twelve months of the new backhaul facilities becoming commercially available, funding recipients must provide the certifications required in paragraphs (e) or (f) of this section in full. Carriers subject to this paragraph must comply with all other requirements set forth in the remaining paragraphs of this section.

Response: No certification required. Windstream does not rely on satellite backhaul for its network.

## **Report 10 - Residential Local Service Rates Report**

§ 54.313(h) Additional voice rate data. All incumbent local exchange carrier recipients of high-cost support must report only their flat rates for residential local service, as well as state fees that are below the local urban rate floor as defined in § 54.318 of this subpart, and the number of lines for each rate specified. Carriers shall report lines and rates in effect as of June 1.

No rates are below the \$10 floor in New Mexico.

			Res. Local			Mandatory	
			Service	:	State USF	EAS	
SAC	SPIN	Exchange	Charge	State SLC	Fee	Charge	Loops

### **Annual Report Certification**

June 26, 2012

In accordance with FCC 11-161 ¶581, this form is to certify that all the information contained in this report is accurate to the best of my knowledge. I acknowledge my certification is subject to the penalties for false statements imposed under 18 U.S.C. §1001.

I, John Fletcher, am General Counsel for Windstream Communications and certify that I am authorized to execute this certification on behalf of Windstream and the facts set forth in this report are accurate to the best of my knowledge, information and belief.

Pursuant to the requirements under 47 C.F.R. §54.313(a)(5) and §54.313(a)(6) Windstream also certifies to the respective State Regulatory Commission that:

- 1) Windstream has established operating procedures designed to facilitate compliance with applicable consumer protection rules.
- 2) Windstream has established operating procedures designed to facilitate compliance with applicable service quality standards. Where applicable Windstream reports service quality standards to State Commissions.
- 3) Windstream has established operating procedures for emergency situations that will allow it to remain functional §54.202(a)(2).
- 4) Windstream certifies that all federal high-cost and CAF support was used in the proceeding calendar year and will be used in the new calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended.

Dated this 21 day of June , 2012
John Fletcher
General Counsel
Windstream Communications
4001 Rodney Parham Rd.
Little Rock, AR 72212
SUBSCRIBED AND SWORN to before me this 21 day of June , 2012 PUBLIC #12349466 EXPIRES
Notary Public: Sandra Blade  Notary Public: Sandra Blade
Notary Public : Sandra Blade
V 12 CIL
My Commission Expires: